



3627
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Emerick, et al. : Date: January 26, 2005
Group Art Unit: 3627 : IBM Corporation
Examiner: Laneau : Intellectual Property Law
Serial No.: 09/865,371 : Dept. 917, Bldg. 006-1
Filed: May 25, 2001 : 3605 Highway 52 North
Title: METHOD AND APPARATUS : Rochester, MN 55901
UPGRADE ASSISTANCE USING
CRITICAL HISTORICAL PRODUCT
INFORMATION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with

02/02/2005 HMARZ11 00000032 090465 09865371
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Serial No. 09/865,371
Docket No. ROC920010109US1

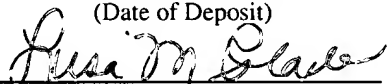
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This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c) before a final action or notice of allowance. The filing fee of \$180.00 (for other than a small entity) is to be charged to our Deposit Account No. 09-0465.

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Respectfully submitted,

BIRKHOLZ, ET AL.

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PTO/SB PTO/SB/08A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.:

09/865,371

Filing Date:

May 25, 2001

First Named Inventor:

Emerick, et al.

Art Unit:

3627

Examiner Name:

Laneau

Attorney Docket Number.:

ROC920010109US1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
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